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FEBRUARY 12, 2001

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SCULLY, SCOTT, MURPHY & PRESSER  
RICHARD L. CATANIA  
400 GARDEN CITY PLAZA  
GARDEN CITY, NEW YORK 11530



\*101544712A\*

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RECORDATION DATE: 11/03/2000

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## ASSIGNOR:

KUDELKA, STEPHAN

DOC DATE: 11/01/2000

## ASSIGNEE:

INFINEON TECHNOLOGIES NORTH  
AMERICA CORP.  
1730 NORTH FIRST STREET  
SAN JOSE, CALIFORNIA 95112

SERIAL NUMBER: 09706468

FILING DATE: 11/03/2000

PATENT NUMBER:

ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
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12-08-2000

Docket No.: FIS92000245US1(13843)

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(Rev. 6-00)  
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Stephan Kudelka

## 2. Name and address of receiving party(ies):

Name: Infineon Technologies North America Corp.

Address: 1730 North First Street

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 11-01-00

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## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 11-01-00

Patent Application No.

Filing date

B. Patent No. (A) EX602286599 US

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BY SIGNATURE & DATE: [Signature] 11/3/00Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Catania

Registration No. 32,608

Address: Scully, Scott, Murphy &amp; Presser

400 Garden City Plaza

(516)742-4343

City: Garden City State/Prov.: New York

Country: U.S.A. ZIP: 11530

## 6. Total number of applications and patents involved: 1

## 7. Total fee (\$7 CFR 3.41): \$ 40.00

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Todd M.C. Li

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

IBM Docket No. FIS920000245US1  
SSM&P Docket No.13843

### ASSIGNMENT

For good and valuable consideration, I Stephan Kudelka, residing at, 363 Van Wyck Lake Road, Fishkill, New York 12524 a citizen of Germany,

Hereby sell, assign and transfer to Infineon Technologies North America Corp., a corporation organized and existing under the laws of the state of Delaware, having its principal place of business at 1730 North First Street, San Jose, California 95112, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letter Patent, which has been executed by the undersigned concurrently herewith, and is entitled:

#### METHOD FOR HYBRID DRAM CELL UTILIZING CONFINED STRAP ISOLATION

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent application on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

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SSM&amp;P Docket No.13843

ASSIGNMENT

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(1) Signed at

East Fishkill, NY

Stephan Kudelka

Date

11/1/00

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## 1. Name of conveying party(ies):

Jack A. Mandelman  
Ramachandra Divakaruni  
Carl J. Radens

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 10-27-00, 10-31-00, 10-27-00

## 2. Name and address of receiving party(ies):

Name: International Business Machines CorporationAddress: New Orchard RoadCity: Garden City State/Prov.: New YorkCountry: U.S.A. ZIP: 10504Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 10-27-00

Patent Application No. \_\_\_\_\_ Filing date \_\_\_\_\_

## B. Patent No.(s)

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
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11/3/00

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. CataniaRegistration No. 32,608Address: Scully, Scott, Murphy & Presser400 Garden City Plaza(516)742-4343City: Garden City State/Prov.: New YorkCountry: U.S.A. ZIP: 115306. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

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Todd M. C. Li

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

(IBM Doc # No.: FIS920000245US1)  
SSM&P Docket No.:13843

## ASSIGNMENT

### Whereas, we

Inventor and City	(1) Jack A. Mandelman County of Dutchess	City of Stormville and State of New York
and	(2) Ramachandra Divakaruni County of Westchester	City of Somers and State of New York
and	(3) Carl J. Radens County of Dutchess	City of LaGrangeville and State of New York

have invented certain improvements in **METHOD FOR HYBRID DRAM CELL  
UTILIZING CONFINED STRAP ISOLATION**

Dates That  
Inventors  
Signed the  
Declaration

and have executed, respectively, a United States patent application therefor on

(1)	OCT 27,	2000, and (2)	OCT 31,	2000, and
(3)	OCT 27,	2000.		

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at E. FISHKILL, NY

Date on OCT 27, 2000

*Jack A. Mandelman* signature  
(Jack A. Mandelman) inventor  
First Name Middle Initial Last Name

City (2) Signed at E. FISHKILL, NY.

Date on OCT 31, 2000

*Ramachandra Divakaruni* signature  
(Ramachandra Divakaruni) inventor  
First Name Middle Initial Last Name

City (3) Signed at East Fishkill, NY

Date on OCT 27, 2000

*Carl J. Radens* signature  
(Carl J. Radens) inventor  
First Name Middle Initial Last Name